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Fall term 1997  
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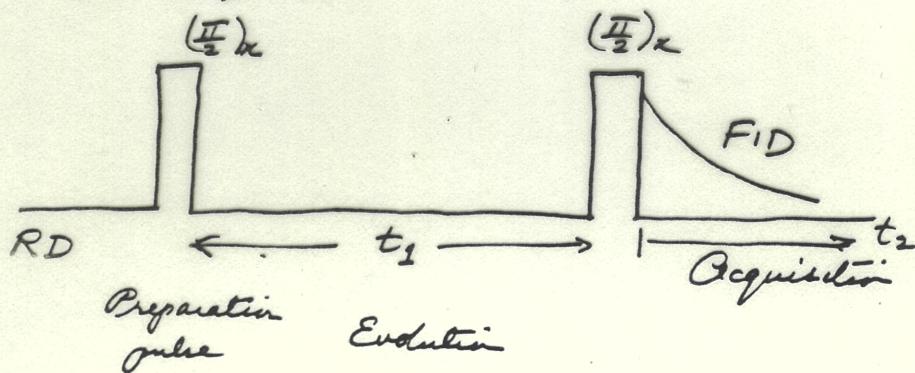
Lecture 16

November 5, 1997

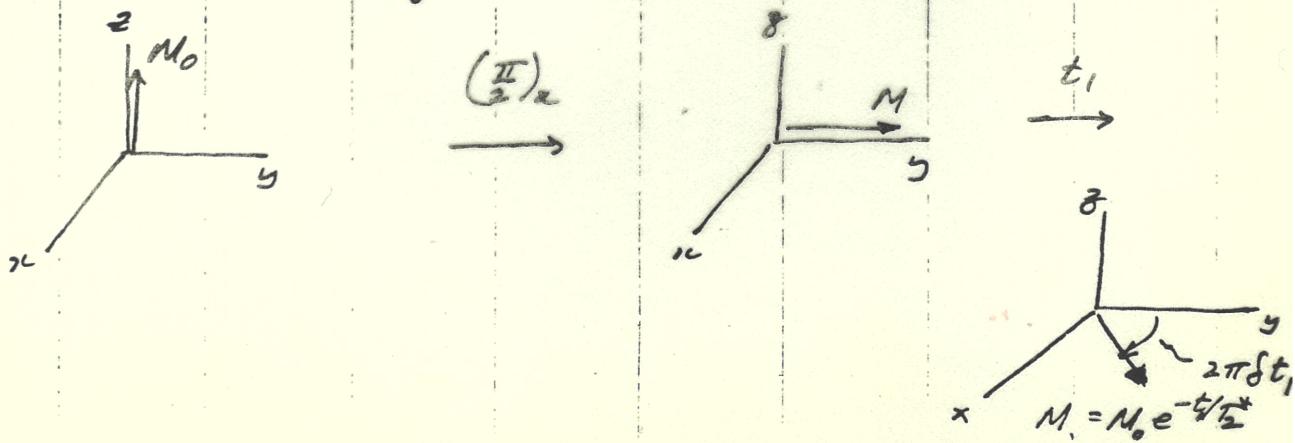
## Correlated Spectroscopy

### • The Simple COSY Experiment

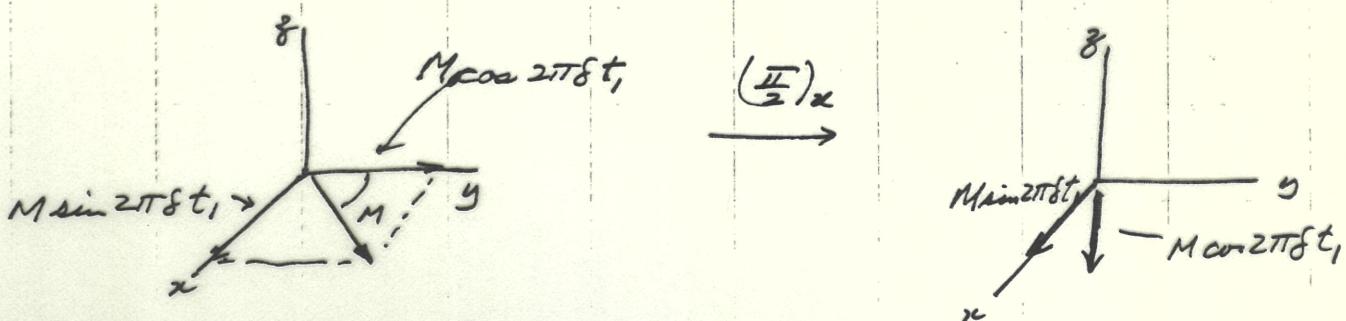
#### Pulse sequence



Consider a sample containing a single line with chemical shift  $\delta$ . After the  $(\frac{\pi}{2})_x$  preparation pulse, the magnetization is allowed to precess at  $\nu$  Hz for time  $t_1$ .



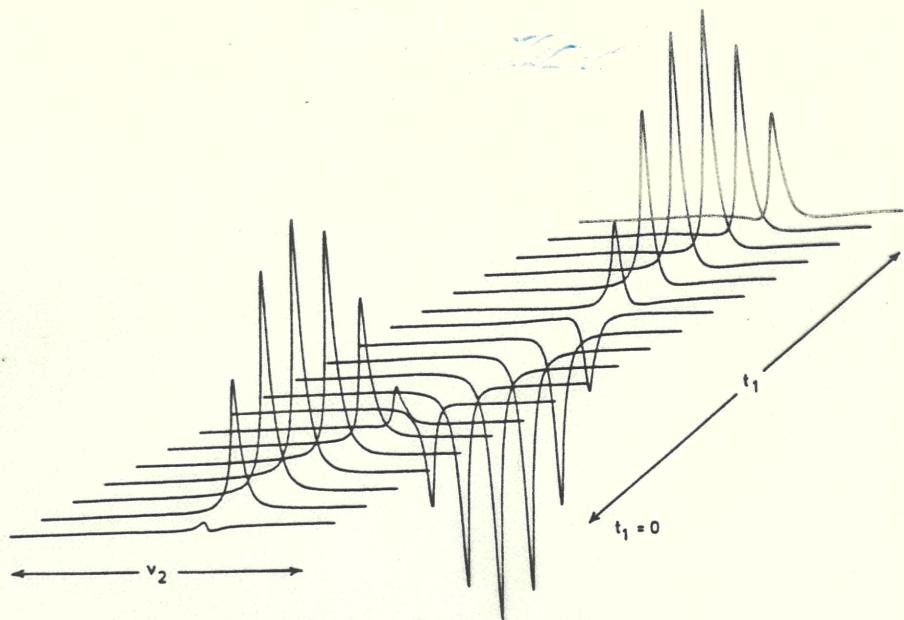
In time  $t_1$ ,  $\vec{M}$  has precessed through an angle  $2\pi\delta t_1$  and its magnitude has decayed to  $M_0 e^{-t_1/T_2^*}$ .



The second  $(\frac{\pi}{2})_x$  pulse rotates the  $y$ -axis component (thereby a further  $90^\circ$ ) to place it along the  $z$ -axis, while leaving the  $x$ -axis component unchanged. So the amount of magnetization left in the  $xy$  plane, which determine the size of NMR signal is  $M \sin 2\pi\delta t_1$ . Fourier transformation of the FID after the second  $(\frac{\pi}{2})_x$  pulse yield the normal 1-D spectrum except for the change of amplitude  $e^{-t_1/T_2} \sin 2\pi\delta t_1$ , when the second  $(\frac{\pi}{2})_x$  pulse is applied at  $t_1$ . Note that the amplitude depends on time  $t_1$ .

See FT spectra for varying  $t_1$  on next page.

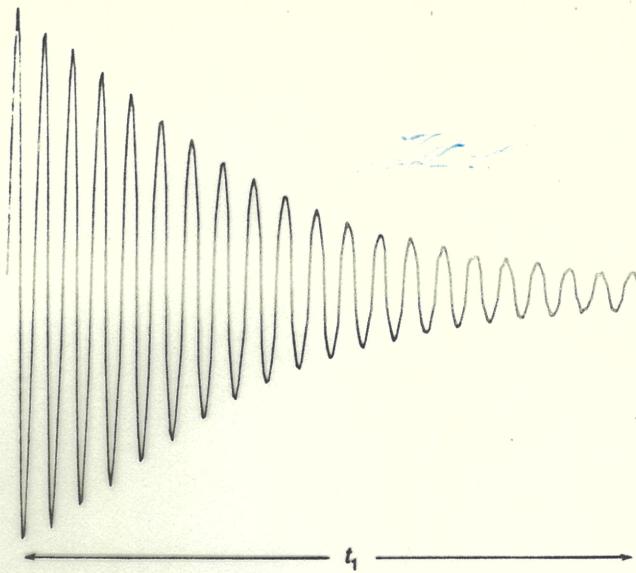
Sign change arises from sinusoidal behavior of  $\sin 2\pi\delta t_1$ .



Spectra obtained using the  $(\frac{\pi}{2})_x - t_1 - (\frac{\pi}{2})_x$  sequence with varying  $t_1$ 's by Fourier transformation of the FID sampled at each  $t_1$ .

If we select a point from each spectrum corresponding to the top of the peak and plot this as a function of  $t_1$ , we obtain an FID oscillating with frequency  $\delta \equiv (\gamma_0 - \gamma_{\text{reference}})$  (phase detection!). Fourier transformation of these data generates another spectrum just as it did for the first set of data (FID's).

See F.I.D. on next page and note that it is a function  
of  $\frac{t_1}{\Delta}$



Fourier transformation of the combined two-dimensional data set yields the two-dimensional frequency spectrum

$$f(v_1, v_2) \quad \text{or} \quad F(\omega_1, \omega_2)$$

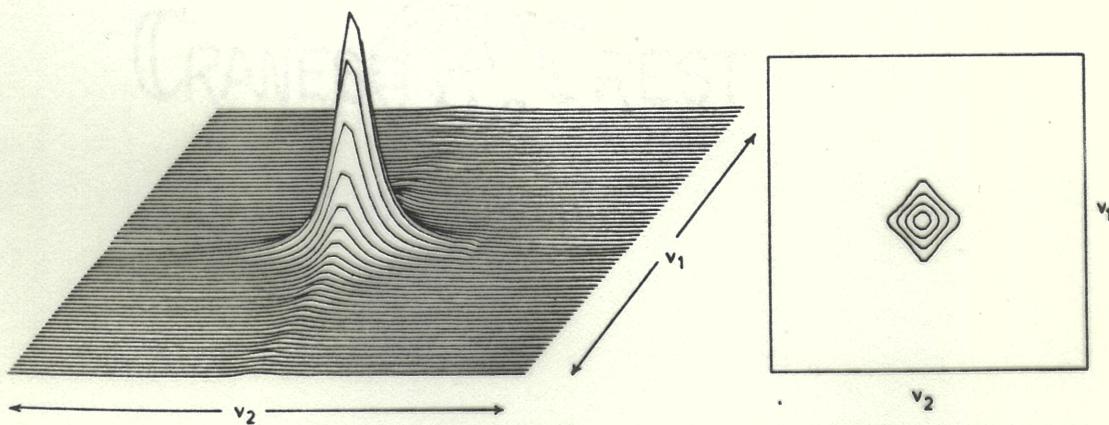


Fig. 2.4 The spectra from Fig. 2.2 after a two-dimensional Fourier transformation, presented as both a stacked plot (left) and a contour plot (right).

Cross-sections through the peak along either axis are Lorentzian with linewidth  $\frac{1}{\pi T_2^*}$ .

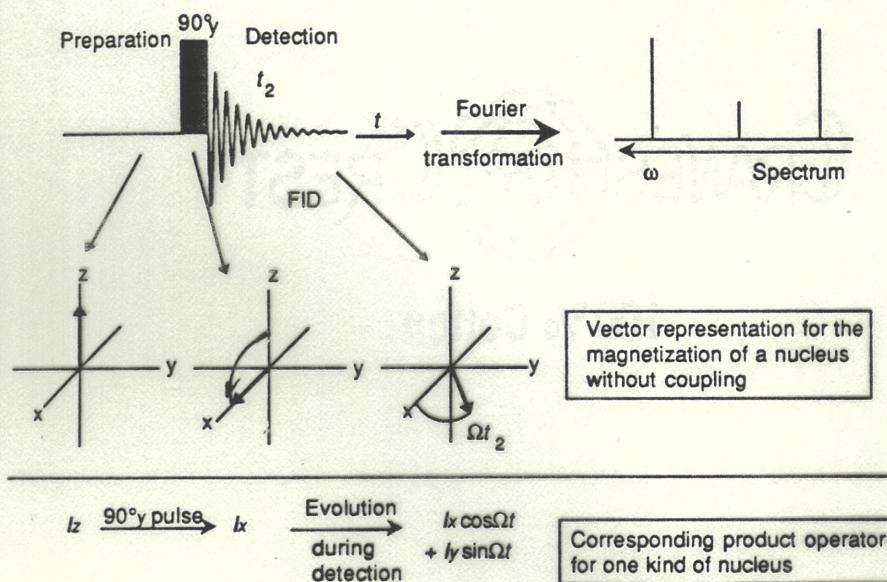
The above is the basic COSY experiment introduced by Jeener in 1971.

• Vector representation or model of the Magnetization versus the Product Operator Model

In the description of pulse NMR, I have been using the vector model of the Magnetization because of its simplicity. But the vector model doesn't always work. The astute student will recognize that in the above description of the COSY experiment, I deconvoluted  $M_{xy}$  into  $M_x$  and  $M_y$ , before discussing the effects of the second  $(\frac{\pi}{2})_x$  pulse. Had I considered only the motion of the bulk magnetization  $M_{xy}$ , then following the second  $90^\circ_x$  pulse, the magnetization is aligned along the  $-z$  axis, which is not observable. The COSY data set 1 was obtained by sampling  $M \sin 2\pi \delta t_1$  as a FID in the Jeener experiment. Clearly, the classical picture is incomplete. The reason is simple: Only polarizations or populations are dealt with in the vector model. Cohidences are not, and coherences are important in a 2D experiment. Both polarizations (or populations) and coherences are treated in a density matrix formulation, but the latter is

Beyond the scope of this course. So I shall try to introduce the product operator approach, which has been developed to give the spectroscopist a set of rules so that the effects of pulses, chemical shifts, and positive scalar couplings can be determined in an arbitrary experiment.

### An example



The vector model and the product operator model for a  $90^\circ$  pulse.

- (1) The system at equilibrium is described by a polarization along the  $z$ -axis,  $I_z$ .
- (2) After a pulse in the  $y$ -direction:

$$I_x \xrightarrow{\alpha I_y} I_x \cos \alpha - I_y \sin \alpha$$

↑  
"an  $\alpha$ -degree pulse along  $y$ "

(7)

$$I_y \xrightarrow{\alpha I_x} I_y$$

$$I_y \xrightarrow{\alpha I_y} I_y \cos \alpha + I_z \sin \alpha$$

rotation

where  $\alpha I_x$  = flip angle  $\leq$  about  $x$ -axis

$\alpha I_y$  = flip angle  $\leq$  about  $y$ -axis

$\alpha I_z$  = flip angle  $\leq$  about  $z$ -axis

$\alpha I_\phi$  = flip angle  $\leq$  about an axis in the  $xy$  plane (forming an angle  $\phi$  with the  $x$  axis)

(3) The effect of chemical shift evolution of nucleus  $I$  during time  $t$  (Chemical shift =  $\omega$  radians/sec)  
i.e.,  $2\pi\delta$

$$I_x \xrightarrow{2\pi\delta t} I_x \cos \omega t + I_y \sin \omega t$$

$$I_y \xrightarrow{2\pi\delta t} I_y \cos \omega t - I_x \sin \omega t$$

$$I_z \xrightarrow{2\pi\delta t} I_z$$

(4) The effect of evolution of  $J$ -coupling between  $I_1$  (or  $I$ ) and  $I_2$  (or  $S$ ) during time  $t$  ( $JI_1 \cdot I_2$ )

$$I_{1x} \xrightarrow{\pi J_{12} t + 2I_{1y} I_{2y}} I_{1x} \cos \pi J_{12} t + 2I_{1y} I_{2y} \sin \pi J_{12} t$$

$$I_{1y} \xrightarrow{\pi J_{12} t + 2I_{1x} I_{2x}} I_{1y} \cos \pi J_{12} t - 2I_{1x} I_{2x} \sin \pi J_{12} t$$

$$2I_{1x} I_{1y} \xrightarrow{\pi J_{12} t + 2I_{1y} I_{2y}} 2I_{1x} I_{1y} \cos \pi J_{12} t + I_{1y} \sin \pi J_{12} t$$

$$2I_{1y} I_{1x} \xrightarrow{\pi J_{12} t + 2I_{1x} I_{2x}} 2I_{1y} I_{1x} \cos \pi J_{12} t - I_{1x} \sin \pi J_{12} t$$

(5) Detection of  $x$ -magnetization leads to build-up of the signal according to the coefficient ( $-\sin \Omega t$ ) of  $I_x$ .

So, for the above pulse-sequence, we can write

$$I_y \xrightarrow{(\frac{\pi}{2})_y} (I_y \cos \frac{\pi}{2} + I_x \sin \frac{\pi}{2}) \text{ or } I_x$$

Evolution  
during detection

$$I_x \cos \Omega t + I_y \sin \Omega t$$

— Summary of product operator transformations

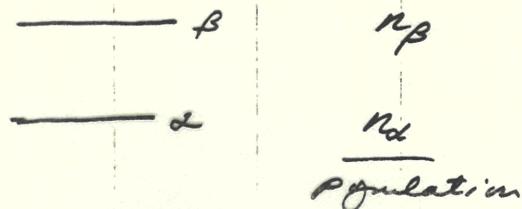
(O.W. Sorensen, G.W. Eich, M.H. Levitt, G. Bodenhausen & R. Ernst, Prog. in NMR Spectroscopy 14, 163-192 (1983)).

Pulses	90° pulses
$I_z \xrightarrow{B_y} I_z \cos \beta + I_x \sin \beta$	$I_z \xrightarrow{90^\circ_y} +I_x$
$I_z \xrightarrow{B_x} I_z \cos \beta - I_y \sin \beta$	$I_z \xrightarrow{90^\circ_x} -I_y$
$I_x \xrightarrow{B_x} I_x$	$I_x \xrightarrow{90^\circ_y} -I_z$
$I_y \xrightarrow{B_y} I_y$	$I_y \xrightarrow{90^\circ_x} +I_z$
$I_x \xrightarrow{B_y} I_x \cos \beta - I_z \sin \beta$	
$I_y \xrightarrow{B_x} I_y \cos \beta + I_z \sin \beta$	
Scalar Coupling	Chemical Shifts
$I_z \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} I_z$	$I_z \xrightarrow{\Omega t I_z} I_z$
$2I_{1x} I_{2y} \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} 2I_{1x} I_{2y}$	$I_x \xrightarrow{\Omega t I_z} I_x \cos \Omega t + I_y \sin \Omega t$
$I_{1x} \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} I_{1x} \cos \pi J_{12} t + 2I_{1y} I_{2z} \sin \pi J_{12} t$	$I_y \xrightarrow{\Omega t I_z} I_y \cos \Omega t - I_x \sin \Omega t$
$I_{1y} \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} I_{1y} \cos \pi J_{12} t - 2I_{1x} I_{2z} \sin \pi J_{12} t$	
$2I_{1x} I_{2z} \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} 2I_{1x} I_{2y} \cos \pi J_{12} t + I_{1y} \sin \pi J_{12} t$	
$2I_{1y} I_{2z} \xrightarrow{\pi J_{12} t I_{1x} I_{2z}} 2I_{1y} I_{2y} \cos \pi J_{12} t - I_{1x} \sin \pi J_{12} t$	

Summary of product operator transformations.

## Populations and Coherences

Population = occupation numbers of a nuclear spin state



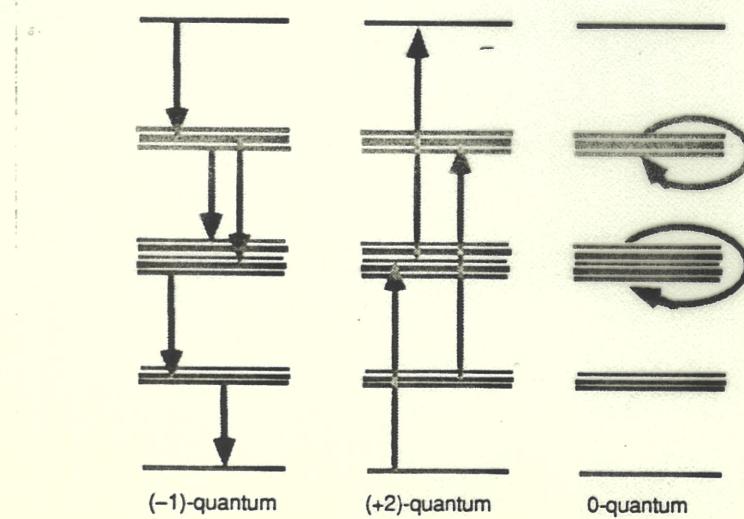
Polarization =  $(n_\alpha - n_\beta)(I_3)_x$  = longitudinal magnetization

Coherence = a relationship between two states across a single nuclear transition, or multiple states for multiple transitions

= transverse magnetization

For a coherence across one transition, this is called a single-quantum coherence.

When more than one level is involved, then the relation between the levels is such that multiple-quantum coherences may be transferred.



Single-, double- and zero-quantum coherences.

To illustrate the concept of coherence, compare the situations when an NMR transition has been saturated with that when the magnetization has undergone a  $90^\circ$  pulse.

In both cases,  $I_z = 0$

When the NMR transition is saturated,  $I_x + I_y = 0$  also. There is no magnetization at all.

For the transition which has undergone a  $90^\circ$  pulse, there will be  $xy$  components precessing together with the same phase, which they derived from the pulse.

So in the saturated sample, the nuclei are precessing incoherently, whereas after experiencing a pulse they are precessing with phase coherence.

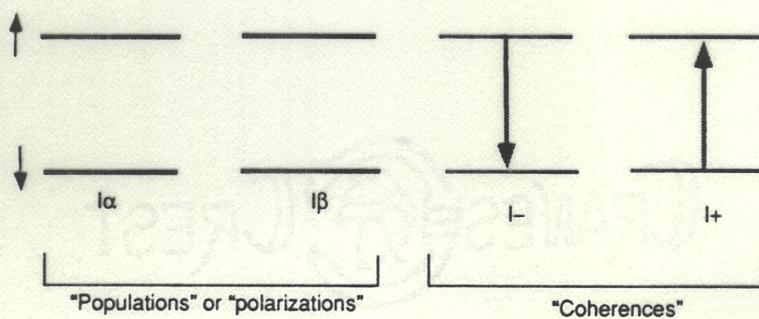
Cohidences are characterized by  $\Delta m_I$  for the quantum number  $m_I$ .

Although in general many of the possible coherences occur under the influence of pulses in a pulse sequence, only a few are associated with observable magnetization, i.e.,  $\Delta m_I = \pm 1$ . Because of the selection rule of NMR. Of these single-quantum coherences, only a few are detectable under weak coupling conditions, those in which the state of exactly one spin is changed (i.e., product operators with only one transverse operator).

We can assume that the state of all spins in the sample i.e., the "spin ensemble" is a superposition of (i) populated

of states, and (ii) coherence between the states. These coherences are indexed by their order  $p$ . The only coherences which induce an observable NMR signal are the  $(\pm 1)$  quantum coherences.

Populations are long-lived (time constant  $\sim T_1$ ) and do not oscillate. Coherences are short-lived and oscillate.



- The COSY Experiment Applied to Scalar-coupled Spin Pair

$$I_1, I_2 = \frac{1}{2}$$

We now apply the  $(\frac{\pi}{2})_x - t_1 - (\frac{\pi}{2})_x$  - acquisition pulse sequence to A<sub>X</sub> spin system.

We will apply the product operator formalism to describe the outcome of the spin system following each step of the pulse sequence assuming a weakly coupled two-spin system.

## Results

$$\sigma_0 = I_{1z} + I_{2y}$$

$\downarrow$   
 $(\pi/2)_z$

$$\sigma_1 = -I_{1y} - I_{2y}$$

$\downarrow$

Evolution due to chemical shift & spin-spin coupling  
 $\Omega_1 t_1 I_{1z} + \Omega_2 t_1 I_{2z} + \pi J_{12} t_1 2I_{1z} I_{2y}$

$$\sigma_2 = (-I_{1y} \cos \Omega_1 t_1 + I_{1x} \sin \Omega_1 t_1 - I_{2y} \cos \Omega_2 t_1 + I_{2x} \sin \Omega_2 t_1) \cos \pi J_{12} t_1$$

$$\cos \pi J_{12} t_1$$

$$+ (2 I_{1x} I_{2z} \cos \Omega_1 t_1 + 2 I_{1y} I_{2z} \sin \Omega_1 t_1 + 2 I_{1z} I_{2y} \cos \Omega_2 t_1 + 2 I_{1y} I_{2y} \sin \Omega_2 t_1) \sin \pi J_{12} t_1$$

$\downarrow$   
 $(\frac{\pi}{2})_x$

$$\sigma_3^{\text{obs}} = (I_{1x} \sin \Omega_1 t_1 + I_{2x} \sin \Omega_2 t_1) \cos \pi J_{12} t_1$$

$$- (2 I_{1y} I_{2z} \sin \Omega_1 t_1 + 2 I_{1z} I_{2y} \sin \Omega_2 t_1) \sin \pi J_{12} t_1$$

2-D spectrum determined by  $\sigma_3^{\text{obs}}$ . The generators in  $\sigma_3^{\text{obs}}$ , namely  $I_{1x}, I_{2x}, 2I_{1y} I_{2z}, 2I_{1z} I_{2y}$ , are responsible for the phases in the  $\omega_2$ -domain, while the trigonometric functions determine the phase in the  $\omega_1$ -direction.

In the  $\omega_2$ -domain:

$I_{kx}$  : dispersive in-phase doublet

$I_{ky}$  : absorptive in-phase doublet

$I_{kx} I_{ky}$  : dispersive antiphase doublet

$I_{ky} I_{kz}$  : absorptive antiphase doublet

In the  $\omega_1$ -domain:

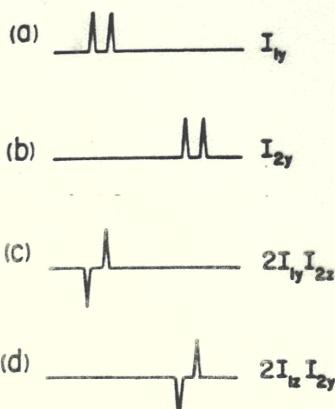
$\cos \Omega_{kx} t, \cos \pi I_{kx} t,$  : absorptive in-phase doublet

$\sin \Omega_{kx} t, \cos \pi I_{kx} t,$  : dispersive in-phase doublet

$\cos \Omega_{ky} t, \sin \pi I_{ky} t,$  : dispersive antiphase doublet

$\sin \Omega_{ky} t, \sin \pi I_{ky} t,$  : absorptive antiphase doublet

e.g.



The one-dimensional spectra representing the physical interpretation of Cartesian product operators involving transverse terms ( $I_{1y}, I_{2y}, 2I_{1y}I_{2z}$ , and  $2I_{1z}I_{2y}$ ).

*Return to  $\sigma_3^{13}$*

First term: Precession at  $\omega_1 + \pi J_{12}$  in the detection period

After 2D-FT, will lead to a two-dimensional multiplet pattern on the diagonal at

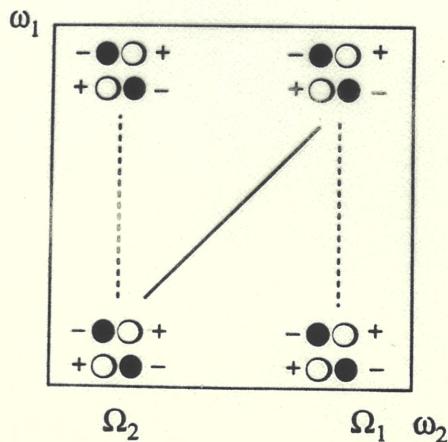
$\omega_1 = \omega_2 = \omega_1 + \pi J_{12}$ , with in-phase doublet structure in both directions.

Second term: In-phase diagonal multiplet at  $\omega_1 = \omega_2 = \omega_1$

Third term: Precession at  $\omega_1 \pm \pi J_{12}$  in the detection period and lead to cross-peak multiplet at  $\omega_1 = \omega_2$ ,  $\omega_2 = \omega_1$ , with anti-phase doublet structure in both dimensions

Fourth term: A cross-peak multiplet at  $\omega_1 = \omega_2$ ,  $\omega_2 = \omega_1$

2D  
spectrum



Theoretical appearance of a two-dimensional phase sensitive DQF-COSY spectrum (with positive and negative peaks appropriately labelled).